
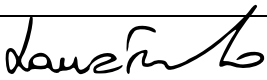


## REQUEST FOR AN EXTENDED EMBARGO PERIOD

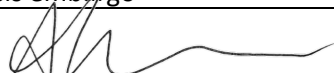
Please refer to the guidance overleaf and attach any relevant supporting evidence

| SECTION 1: STUDENT'S DETAILS   |  |
|--|--|
| Name: Chloe Allen  | Registration Number: 160109898                                     |
| Department: Neuroscience   | Faculty: Medicine, Dentistry and Health                            |
| Thesis title: Identifying the transcriptomic basis underlying individualised drug response: moving towards personalised medicine in ALS using patient-derived astrocytes   |  |
| Who funded the research project? MRC DiMeN Scholarship   |  |
| Number of years embargo requested<br>10  | Is this an extension to an existing embargo?<br><del>Yes</del> /No |
| Extended embargo applies to (please tick any that apply):<br><br><div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">             Ethesis <input checked="" type="checkbox"/> </div> <div style="width: 45%;">             Print thesis (if applicable) <input type="checkbox"/> </div> </div> |  |
| Reason for the request (see guidance on reverse):<br>Commercial confidentiality  |  |
| Signature:    | Date: 02/06/2021   |

| SECTION 2: SUPERVISOR'S COMMENTS  |                          |
|---|--------------------------|
| Name: Laura Ferraiuolo  | Department: Neuroscience |
| Comments in support of the request:<br><br>The thesis contains some key information that are likely to be exploited for commercial reasons. A 10 year embargo would guarantee that commercialisation is not negatively affected by thesis publication |                          |
| Signature:   | Date: 7/06/2021          |

Please return the completed form to [pgr-enquiries@sheffield.ac.uk](mailto:pgr-enquiries@sheffield.ac.uk)

| SECTION 3: FACULTY CONSIDERATION   |              |
|--|--------------|
| Name: Prof A Gartland  | Faculty: MDH |
| Comments on decision:<br><br>10 years is NOT approved, 3 years in the first instance will be approved to secure IP protection. Please reapply after 3 years if this is not sufficient with details of progress made to date on the commercialisation process. Also consider redacting commercial sensitive data. |              |

|  |   |                          |  |
|--|---|--------------------------|--|
| <input checked="checked" type="checkbox"/>   | I approve this request for an extended Thesis embargo | <input type="checkbox"/> | I do not approve this request for an extended Thesis embargo |
| Signature:  |   | Date: 22/06/21           |  |

### Examples of exceptions for granting an extended embargo

|  |
|--|
| <p><b>Planned publication</b></p> <ul style="list-style-type: none"> <li>• There is often a long lead-time to get papers published in quality journals.</li> <li>• Cross-disciplinary research may also take longer to publish.</li> <li>• Where we are able to demonstrate that reaching the highest levels of academic excellence also has a long lead-time, and where the thesis contains data likely to be included in future research by the supervisor or collaborator.</li> <li>• To account for plans to publish monographs, however, the extension should be for a maximum of a further 12 months and it is expected an agreement has been reached with the publisher that this is acceptable.</li> </ul> |
| <p><b>Commercial confidentiality</b></p> <ul style="list-style-type: none"> <li>• Where there are contractual restrictions imposed by a sponsor. This could include industrial sponsors, overseas governments, etc. The contract must specify the details of the required embargo period and it is recommended that, wherever possible, this should not exceed 5 years.</li> <li>• Where the research might lead to a commercial application or patent and IP needs to be protected.</li> </ul>  |
| <p><b>Contains personal data</b></p> <ul style="list-style-type: none"> <li>• The thesis contains personally identifiable or ethically sensitive data.*</li> <li>• Material obtained in the thesis was obtained under a guarantee of confidentiality.</li> </ul> <p>*The implications of undertaking research involving identifiable participants should be considered at an early stage via the ethical approval process. Where possible, research participants should not be identifiable within the thesis.</p>   |
| <p><b>Third party copyright</b></p> <ul style="list-style-type: none"> <li>• Where third party copyright has not been obtained, students may submit an edited thesis, as an alternative to requesting an embargo. They would also need to submit an unedited copy.</li> </ul>  |
| <p><b>Could endanger health and safety</b></p> <ul style="list-style-type: none"> <li>• The thesis contains sensitive material (political or otherwise) which could put at risk the authors or participants if made openly available.</li> </ul>   |
| <p><b>Could prejudice national security</b></p> <ul style="list-style-type: none"> <li>• The thesis contains sensitive material, which must not be made publicly available.</li> </ul>   |